



2014 S.L. Gimbel Foundation Fund Grant Application

Internal Use Only:
Grant #: 20140278

824,896

Organization / Agency Information

Organization/Agency Name: Santa Fe Conservation Trust 17842		
Physical Address: 1660 Old Pecos Trail, Suite B City/State/Zip: Santa Fe, NM 87505		
Mailing Address: P.O. Box 23985 City/State/Zip: Santa Fe, NM 87505		
CEO or Director: Charlie O'Leary		Title: Executive Director
Phone: (505) 989-7019	Fax: (505) 988-1455	Email: charlie@sfct.org
Contact Person: Charlie O'Leary		Title: Executive Director
Phone: (505) 989-7019	Fax: (505) 988-1455	Email: charlie@sfct.org
Web Site Address: www.sfct.org		Tax ID: 85-0418988

Program / Grant Information

Interest Area: Health Environment Animal Protection Education Human Dignity

Program / Project Name: Passport to Health		
Amount of Grant Requested: \$24,896.40	Total Organization Budget: \$328,775.00	Percentage of Organization's Total Budget used for Administration: 15%
Purpose of Grant Request (one sentence): The purpose of the grant is to provide a unique educational experience for low-income, underserved Santa Fe youth by providing field trips that get them out of the classroom and onto the trails in and around our community while also adding a community service element for high school students by training them in trails maintenance and utilizing them as future stewards and trail guides.		
Gimbel Grants Received: List Year(s) and Award Amount(s): September 1, 2011 – August 31, 2012: \$20,000 December 1, 2012 – November 30, 2013: \$25,000		

Signatures

Board President / Chair: (Print name and Title) Connie Bright, Board Chair	Signature: 	Date: 2/28/14
Executive Director/President: (Print name and Title) Charlie O'Leary, Executive Director Charlie O'Leary, Executive Director	Signature: 	Date: 2/28/14

SANTA FE CONSERVATION TRUST

2014 Grant Narrative

I. Organizational Background/Target Population

The Santa Fe Conservation Trust (SFCT) is a local land trust organized to balance development with the need for preserving trail access and open space. SFCT's mission is to preserve the spirit of place among the communities of northern New Mexico by protecting open spaces and critical wildlife habitat, by creating trails, and by protecting the landscapes of our diverse cultures. Since 1993, we have preserved over 35,000 acres on 77 properties. This year we are proud to be celebrating 20 years of land preservation and protection in perpetuity.

Some of our most recent accomplishments include: 1) the development of the La Piedra Trail connecting the Dale Ball Trail system to Santa Fe National Forest, providing hikers, bicyclists, and trail runners with a more extensive experience; 2) continued growth in land preservation, including the conservation of 2,628 acres of open space in the past three years, including collaborations with the City and County to promote open space and public recreation, and, 3) becoming fully accredited by the Land Trust Alliance's accreditation program (estimated for August 2014) making SFCT among the top 15% of land trusts throughout the United States.

II. Project Information

Santa Fe's south side has the city's fastest growing population, primarily low to moderate income families, primarily of Hispanic and Mexican origin. While numerous housing developments have sprung up over the past few years, much of the area remains underdeveloped in terms of recreational resources. A trail system that was part of the master development plan in the area remains incomplete and unconnected.

Santa Fe offers many opportunities for enjoying the great outdoors, particularly the widely used trail systems on the east and north sides of the city, but these free and healthy resources remain largely unknown to the south side community. Our plan with this project is to 1) expose elementary and middle school students from central and south Santa Fe to the vast trail system that we have at our doorsteps, and 2) give high school students an opportunity to participate in a community service activity that benefits our entire community in a significant and lasting way. Our goal is to provide low-income school children with a fun-filled and educational hiking experience and to encourage further outdoor recreation as a free or low-cost form of recreation and healthy physical activity, an alternative to the sedentary lifestyle which seems to be predominant among many of today's youth.

Although there are a few nature-based afterschool and summer programs in and around Santa Fe, the population we intend to serve lacks transportation and the financial resources required to participate in these programs. In order to address one of the major impediments in accessing the foothill trails, we are providing the transportation to make it happen.

In helping to get children outside hiking or biking, we will be helping to combat the problem of childhood obesity that is so prevalent in our community. A critical partner in this effort will be the La Familia Medical Center, whose REACH and CENA (Community, Exercise, Nutrition and Action) programs are specifically intended to help south side families improve nutrition and physical activity. These programs will provide important connections within schools through site coordinators, already in place, and through CENA's specific efforts identifying and serving children and families that are most in need of assistance to combat obesity.

Our first objective is to bring Santa Fe elementary and middle school children to one of our local trails for a unique educational experience that encourages an appreciation for the trails as well as a healthy lifestyle the students and their families. This program will enhance their classroom experience through the integration of lessons in environmental science, math, and local history. Students will learn to read trail maps, use compasses for navigation, and add mileage on various legs of a particular route. They will learn about the history of the land, the plants, animals, the people who have spent time there, and how to maintain these resources in a responsible way. They will also learn how to dress, what to take, where to go and how to stay safe so that they can continue to enjoy future outdoor adventures.

Our second objective is to increase the number of trained volunteers available to help with trail maintenance by providing a new opportunity for high school students to complete community service hours. Participants will learn about trail design and construction, identification of problems such as erosion and off-trail travel, and the formulation and implementation of strategies to address these problems. Hands-on activities will include proper use of tools that are commonly used to maintain trails. We are hopeful that these young Santa Feans can become our city's future supporters of trails and outdoor education.

We are currently laying the groundwork for the project and plan to launch "Passport to Health" activities in September of 2014, at the start of the school year. The project will continue throughout the school year, completing its first phase in June 2015.

The first part of the project is geared towards low income Hispanic, Mexican and Native American students from eight public elementary schools and two middle schools on the south side of Santa Fe. We will be providing a field trip experience for each school, with the ability to accommodate two classes (52 students) on each trip, for a total of approximately 520 students and adult chaperones transported via bus to one of our local trails. Each student will be provided with a "goody bag" of items to get started—a water bottle, hat or visor, miniature first aid kit, energy bar or similar healthy snack, and age-appropriate educational activities. To encourage future hikes and outdoor activities independent of the classroom experience, students will be given a "passport" booklet that includes maps of local trails, descriptions of the trails, degree of difficulty, directions, availability of public transportation, trail safety and etiquette, and journal space for the student to document follow-on trail experiences.

The trails maintenance opportunity for high school students will be offered in early fall and spring and is intended to reach approximately 120 students from Santa Fe's high schools. Under the direction of the project coordinator, with assistance from the Trails Alliance of Santa Fe, students will be instructed in how to identify and restore problems out on actual trails. They will be instructed on proper use of tools and learn to identify which tool is appropriate for the specific problem to be addressed. Hours spent participating in this project will be credited towards completion of community service that high school students may need to pursue college acceptance.

If we meet our goal with overall student participation, we will be serving a total of 640 students ages 10 through 18. What may be more impressive is the number of secondary people who could possibly benefit from this project—mothers, fathers, siblings, and friends, and future Santa Feans.

There is currently no other project similar to the one we are proposing in Santa Fe. Our partners will include the City of Santa Fe, Santa Fe County, La Familia Medical Center, Santa Fe Public Schools and the New Mexico Department of Health. We are also fortunate to be able to tap into the time and talents of the Trails Alliance of Santa Fe, a group of dedicated, trained

volunteers that regularly monitor trails throughout Santa Fe. As the program grows, we will reach out to the local business community for additional support.

Elementary and middle school students will be provided with a unique educational experience that we hope will be the first step in getting them off the couch, away from electronic devices and into the great outdoors. They will come to realize that hiking on trails is an accessible and fun activity, doesn't cost any money, and doesn't require any fancy or expensive equipment. The added benefit will be a healthier community. As for the high school students, we will be providing them with experience and skills that may lead them to pursue a degree in environmental studies or similar field, and to be active supporters of trails and open space in our community.

Success of this project will be indicated by the number of students and parents that are served. The Trails Alliance of Santa Fe has regularly scheduled trail maintenance days and diligently tracks volunteer hours, so that participation by high school students can be easily accounted for. La Familia Medical Center's CENA program will also be tracking the participation and trends in nutrition and physical activity among targeted, high-risk populations. This monitoring system may provide a deeper level of evidence of success.

Funds provided by the S.L. Gimbel foundation will be used to launch this project through the provision of bus transportation, educational and activity-related materials, the printing of the "passport" for each student, and additional tools required for trails maintenance.

III. Project Future

Following the launch of this program, we will use the sponsorship of the S. L. Gimbel Foundation as leverage to gain additional support to grow the program. We anticipate that this support will come from both public and private sources.

IV. Governance, Executive Leadership and Key Personnel/Staff Qualifications:

The Santa Fe Conservation Board is comprised of a 16 dedicated members from the Santa Fe community at large—each bringing a unique set of skills in the fields of law, finance, project management, education and conservation. As diverse as their backgrounds may be, they share a common interest in protecting the beauty of Northern New Mexico. Each Board member serves on a minimum of one of six committees: 1) Governance and Nominating; 2) Finance; 3) Land Review; 4) Trails; 5) Development; 6) Public Relations/Communications. The decision-making process of the Board is first and foremost dictated by the By-Laws of the organization. However, the committees work very much in sync with each other and the Board as a whole.

The staff of SFCT is equally talented and diverse. For this particular project, Tim Rogers, who recently joined SFCT as the trails coordinator will be the lead staff member. Tim brings with him a wealth of experience garnered over the years working with underserved populations throughout the state of New Mexico to develop walking and biking trails to promote healthy communities. His professional work on local trails includes developing the Santa Fe Bikeways and Trails Map and creating the Metropolitan Bicycle Master Plan that was adopted in 2012. He is also fluent in Spanish. Assistance and support will be provided by other SFCT staff members as needed.

2014 S.L. Gimbel Foundation APPLICATION

V. Project Budget

A) Please provide a detailed line-item budget for your project by completing the table below. Include all sources of funding for the proposed project.

Line Item Description	Line Item Explanation (Formula/equation used as applicable. Example: 40 books @ \$100 each = \$4000)	Support From Your Agency	Support From Other Funders	Requested Amount From TCF	Line Item Total of Project
Transportation	10 bus trips (round trip) via SF Public School buses @ \$300 per trip			\$3,000.00	\$3,000.00
Materials for Hikers	Mini-pack with water bottles, hats, sunblock, compass, first aid kits and snacks for 640 students (See attached itemization)			\$13,646.40	\$13,646.40
Printing	Promotional materials, "Passports"/hiking area checklists (Minimum: 520 passports; 640 checklists)			\$1,750.00	\$1,750.00
Tools	McLeods, rakes, buckets and gloves		Existing tool inventory(app. \$5,000)	\$3,500.00	\$8,500.00
Staff time: Trail Program Manager	12 days of trips to trails; two hours per school for promotion; curriculum development and oversight of additional materials 150 hours @ \$75		\$11,250.00		\$11,250.00
Graphic design/website management	Design of promotional materials & passport; photography; ongoing update & maintenance of website and other social media. 80 hours @ \$75 per hour	\$3,000.00		\$3,000.00	\$6,000.00

Staff time: Director	Oversight and internal management of project (working with program manager) 20 hours @ \$75 per hour	\$1,500.00			\$1,500.00
Coordinating participation from La Familia Medical Center/REACH & CENA youth programs (Staff time)			\$1,500.00 (approximate)		
TOTALS:		\$4,500.00	\$17,750.00	\$24,896.40	\$47,146.40

VI. Sources of Funding: Please list your current sources of funding and amounts.

Secured/Awarded

Name of Funder: Foundation, Corporation, Government	Amount
City of Santa Fe (Trail Program Manager) as described above	\$11,250.00
La Familia Medical Center (staff time in accompanying children on several field trips)	\$1,500.00 (app)
Trails Alliance of Santa Fe (use of existing tools)	\$5,000 (app)

Pending

Name of Funder: Foundation, Corporation, Government	Amount	Decision Date

PROGRAM SUPPLIES		
- (Itemized from Project Budget- Line 2):		
Item	Unit cost	Total
Hats with SFCT logo (640)	640 @ \$5.65 each	\$3,616.00
Water Bottles (640)	640 @ \$3.00 each	\$1,920.00
Compass	640 @ \$1.99 each	\$1,273.60
Energy/granola bar	640 @ \$0.99 each	\$633.60
Sunscreen (individual packet)	640 @ \$1.09 each	\$697.60
Kids First Aid Kits	520 @ \$2.53 each	\$1,315.60
Kids Day/Fanny Pack	520 @ \$8.00 each	\$4,160.00
TOTAL:		\$13,616.40

VII. Financial Analysis

Agency Name: Santa Fe Conservation Trust

Most Current Fiscal Year (Dates): From: January 1, 2014 To: December 31, 2014

This section presents an overview of an applicant organization’s financial health and will be reviewed along with the grant proposal. Provide all the information requested on your entire organization. Include any notes that may explain any extraordinary circumstances. Information should be taken from your most recent 990 and audit. Double Check your figures!

Program to Total Expenses Ratio: Percentage of expenses used to support programming versus how much is spent for general management and fundraising. A general rule is that at least 75 percent of total expenses should be used to support programs – the higher the percentage the better.

Program Expenses	/Total Operating Expenses	= Program Expense Ratio
\$274,176.00	\$ 359,453.00	76 %

990: Part IX, Column B, Line 25 990: Part IX, Column A, Line 25
w/ FUNDR, P/E = 86%; ADMIN 14%

Administrative Expense (100%-Program Expense ratio) per 990 above	Percentage of Organization’s Current Total Budget used for Administration (from cover page)	Differential
24 %	15% 24%	9% 0%

If the differential is above (+) or below (-) 10%, provide an explanation:

w/ FUNDRAISING 14% | 15% | 1% DIFF

Quick Ratio: Measures the level of liquidity and measures only current assets that can be quickly turned to cash. A generally standard Quick Ratio equals 1 or more.

Cash	+ Accounts Receivables	/Current Liabilities	= Quick Ratio
\$270,296.00	\$35,000.00	\$17,654.00	17.9

Excess or Deficit for the Year:

Excess or (Deficit) Most recent fiscal year end	Excess or (Deficit) Prior fiscal year end
\$238,976.15	(\$43,144.30)

Notes:

Diversity of Funding Sources: A financially healthy organization should have a diverse mix of funding sources. Complete those categories that apply to your organization using figures from your most recent fiscal year.

Funding Source	Amount	% of Total Revenue	Funding Source	Amount	% of Total Revenue
Contributions	\$113,024.00	20%	Program Fees	\$74,750.00	13%
Fundraising/Special Events	\$83,379.00	14%	Interest Income	\$10,256.00	2%
Corp/Foundation Grants	\$49,047.00	8%	Other:	\$28,178.00	5%
Government Grants	\$0	0%	Other:	\$	

SFCT Board of Directors 2014

Executive Committee

Connie Bright, Board Chair

Connie divides her time between Oklahoma City and Santa Fe. For many years she was a board member, teacher and girls' basketball coach at Westminster School and a teacher of ESL at a refugee center, both located in Oklahoma City. Connie and her husband, Bob, bought their home in Santa Fe in 1980. They have three children and four grandchildren.

Connie currently serves on the board of the Oklahoma City Myriad Gardens Foundation and has been an active SFCT volunteer, including serving as co-chair of the Stewart Udall Environmental Award Dinner since 2004. Her other interests include hiking, gardening, cooking and travel.

Kent Little, Vice Chair

Kent Little founded Sangre de Cristo Mountain Works in 1994, as a specialty mountaineering store in Santa Fe New Mexico. Mr. Little, originally from the mid-western United States, moved to Santa Fe to create a life in the mountains and to pursue a passionate lust for climbing and back country skiing. Having spent most of his life pursuing adventures outdoors, a life in climbing shops and outdoor stores was inevitable. Mr. Little's passion for the outdoors has afforded many great achievements personally and professionally. Mr. Little has been no stranger to adventuring outdoors. A passionate skier, climber, paddler and fisherman, Mr. Little has skied and climbed all over North America. Mr. Little's climbing and skiing career have taken him to the summit of Rainier via two technical routes, a bid on Aconcagua the highest mountain in the Americas, 4000 foot back county ski runs in the Canadian Rockies not to mention climbing junkets to many of North America's greatest climbing areas. Vice President at TMR Co. Mr. Little graduated from Loyola University of Chicago and The College of Wooster along with the National Outdoor Leadership School and Hurricane Island Outward Bound School.

David Chase, Treasurer

David Chase is a managing member of Vestor Associates, LLC. Mr. Chase has led Vestor since its founding and has been active in private equity investing since the late 1960's. Mr. Chase is involved with Vestor's acquisition strategy, equity investing, financing, and investor relations. He serves on or advises the Boards of the portfolio companies of Vestor Partners, LP. In addition, Mr. Chase is a Trustee of Thornburg Investment Trust, the governing board of the Thornburg Mutual Funds, former Chair of the Board of Trustees - the College of Santa Fe, and has served on the Board for the School for Advanced Research in Santa Fe. He holds degrees from Principia College (BA), The Amos Tuck School at Dartmouth College (MBA), and Arizona State University (PhD). Mr. Chase was a professor at Northern Arizona University from 1966 to 1978,

teaching corporate finance, securities and banking courses. He serves various community and charitable organizations, including National Dance Institute - New Mexico, INC., the School of Advanced Research, and the BF Foundation. He is also Chairman and Director of the BF Foundation, a private foundation.

Kim Udall, Secretary

Kim grew up in the Phoenix area, and graduated from college at Arizona State University in 1968 and completed law school at Arizona State University in 1971. Kim and his wife, Sharyn, moved to Santa Fe in 1972, where Kim started his legal career with the firm of Bigbee, Byrd, Carpenter & Crout., He has been a member of the firm that is now known as Sommer, Udall & Sutin since 1986. Kim's legal career consisted of civil litigation until the mid-90s, and since then he has limited his practice to mediation and arbitration. He has mediated over 3,400 cases, and has been the arbitrator in more than 280 cases.

Kim is a former member of the Kiwanis Club of Santa Fe, and was the president in 1991. He was also a former member of the Sol y Lomas Homeowners Association, and was the president from 1982 to 1984, and was a member of the Arroyo Chamisa-Sol y Lomas Neighborhood Association, and was the president from 1979 to 1982.

Kim admits that Sharyn is the hiker in the family and that his "hiking" is usually limited to treadmills at local gyms, but loves getting out with the family when time permits.

Kim and Sharyn have a married daughter and two wonderful granddaughters. Kim is looking forward to retirement in a few years, after which he hopes to return to his favorite "hobby"--teaching and tutoring reading to third and fourth graders at Carlos Gilbert Elementary School.

Andy Ault (At Large)

Andy Ault recently returned to Santa Fe in 2005, trading his East Coast Wall Street job for a long-term stint in Santa Fe's dynamic and different real estate market. While working at Santa Fe Properties, Andy's broader background and interest in community conservation steered him to the Trust. He co-chaired the Lewisboro, NY Open Space Committee from 2001-2005, when watershed preservation efforts were a top priority throughout the State. With his wide business interests, Andy is also a member of Wells Fargo Bank Community Board.

Andy brings high energy and a passion for the work. He hopes to focus on improving our mapping of local greenbelt properties, setting more visible land priorities to help broaden community participation in our programs, and helping to protect more of New Mexico's strategic and unique open space under conservation easements.

Margaret Alexander

Margaret Alexander was born and raised in Sebastopol, California and attended the University of California, Riverside and the University of Oregon where she earned a degree in Library Science. Margaret also received a Masters degree in History from Northern Illinois University.

When she is not working as the Librarian for the Santa Fe Institute, or hiking area trails, Margaret is busy serving on the Board of the the Alliance for Innovation in Science and Technology Information and promoting the development of trails and trails stewardship in Santa Fe; she's an active member of the Trails Alliance of Santa Fe.

She and her husband, David, have two sons. Christian, an attorney and Martin is employed at an engineering firm in Albuquerque.

Murray Brott

Murray Brott has been in the real estate investment and development business since 1972, specializing in commercial property. He is in the process of converting a large chain of self storage facilities throughout New Mexico to solar energy. Murray and his wife Cindy moved to Santa Fe in 1979 to create an ideal life for themselves and their three children. "Ideal" to the Brott family means wide open spaces, clean air, mountains, blue sky and diversity. Says Murray, "I want to do anything I can to preserve the wonderful environment we have here in New Mexico."

Murray has served previously on the boards of the Santa Fe Mountain Center and the Santa Fe Ski Team. His other interests include photography, adventure travel, tennis, skiing, hiking and golf.

David Fleischaker

David is a businessman and lawyer who served as the Oklahoma Secretary of Energy under Governor of Oklahoma Brad Henry from 2003 to 2008. Fleischaker has served as the President and CEO of Jolen Operating Company, a privately held independent oil and gas exploration and production company, since 1982. Fleischaker received his B.A. in 1966 from Brandeis University and his LLM in 1971 from the University of Texas. Fleischaker served as a member of the United States Air Force Reserve.

Fleischaker's wife Pam, is a noted journalist and author. They have two children, a son Joey and daughter Emily.

Harlan M. Flint

Harlan is a native Santa Fean with fond memories of playing in the Santa Fe River and the mountains near his childhood home on upper Cerro Gordo Road. A graduate of

Cornell University, Harlan has had a 25+ year career in financial markets, investments and risk management. He is currently with Longview Asset Management. Previously he as a Financial Advisor with Morgan Stanley Smith Barney. He has held executive positions with Instinet Corporation, which pioneered the development of electronic trading in global securities markets.

While living and working in New York, London, Hong Kong and Stockholm, Harlan never lost his love for northern New Mexico and in 2007 moved back to Santa Fe with his wife, Nicole Rassmuson, and their children, Selma and Jasper. Today, he enjoys skiing and fly fishing on local mountains and streams, hiking and biking on our great local trail system, and exploring the diverse communities and open landscapes unique to northern New Mexico. Harlan served on the Santa Fe Conservation Trust's Development and Communications Committee in 2010 before joining the Board in 2011.

Richard Hughes

Richard Hughes is a partner in the Santa Fe office of the Rothstein Law Firm. As a specialist in federal Indian law, he represents several Indian tribes in New Mexico. Together with a group of friends who share an affinity for the Pecos River Canyon, Richard has helped acquire and place under conservation easement several tracts along the Pecos River near the town of Ilfeld, and has become a true believer in the power of such devices as a means of protecting what little open space we have left.

Bill Johnson

Bill Johnson is back on the Board after a 5 year hiatus; he previously served from 1998-2007, including 2 terms as board chairman. He is a local family psychiatrist dedicated to serving lower income families through his work at Teambuilders-Zia Behavioral Health. His interests include trails advocacy, perennial & vegetable gardening & mountain biking.

Sandra Massengill

Sandra brings with her a wealth of environmental experience and expertise. She has extensive experience with the State of New Mexico Energy, Minerals and Natural Resources Department as both a consultant and as a planner director, as a personal management specialist for the Natural Resources Department, as a park ranger and as a co-op student and student aid for the New Mexico Department of Game and Fish. Massengill has also worked for the U.S. Department of the Interior in both Burley, Idaho and throughout New Mexico. She attended Our Lady of the Lake College in San Antonio, Texas, received a BA in Social Welfare/Psychology from New Mexico State University and her Teacher Certification Western New Mexico University. She has also studied Range Science at New Mexico State University.

Joanna Prukop

For the first 26 years of her career she worked for the NM Department of Game and Fish, where she became their first female regional supervisor and first female division chief. From 2003 to 2009, Joanna served as Cabinet Secretary for Energy, Minerals and Natural Resource under New Mexico Governor Bill Richardson. She led the state's initiatives in environmental protection, land conservation, state park enhancements, restoring healthy forests, energy conservation programs and renewable energy development. Her top priorities included strengthening environmental protection, compliance and enforcement while supporting continued development of fossil fuels and other minerals; conserving natural lands and protecting critical wildlife habitats, and addressing climate change issues. Her key initiatives included promoting a clean energy economy, environmentally compatible electrical transmission development, green building measures, and energy efficiency.

In January 2010, Joanna left her Cabinet position to become a senior executive with the conservation organization Freedom To Roam, launched in 2009 by Patagonia Clothing Company. In October 2010, Joanna implemented an 18-24 month sabbatical plan. Joanna currently serves as one of two women appointed in July of 2010 to the Obama Administration's new 18-member Wildlife and Hunting Heritage Conservation Council. She is also on the National Conservation Leadership Institute Board of Directors, a life time member of the Mule Deer Foundation, and a member of The Wildlife Society, the Wilderness Society, and the Boone & Crockett Club.

Joanna has a Bachelor's of Science degree in Wildlife Biology from Texas A&M University (*summa cum laude*) and a Master's of Science degree in Wildlife Science from Colorado State University.

Janet Stoker

Janet Stoker, retired former Development Director of United World College-USA, is a long time resident of Santa Fe, NM. She was previously Institutional Development Director at the School of American Research (now, the School for Advanced Research). Janet holds a BA from Occidental College and a MA in Spanish from UCLA. She is currently serving on the board of the Historic Santa Fe Foundation and on the Fundraising and Strategic Planning committees of the League of Women Voters of Santa Fe County. She participated in the Santa Fe Conservation Trust's Development and Communications Committee in 2010 before joining the Board in 2011. She has two sons who live locally and two wonderful grandsons.

George Strickland

George was the former managing director for Thornburg Investment Management and co-portfolio manager of the Thornburg Strategic Income Fund. George joined Thornburg as an associate portfolio manager in 1991, and was elected managing director in 1996. Until 2010, he managed the Thornburg Municipal Bond Funds. Prior to joining Thornburg, George began his financial career with the Calvert Group. He received his BA in Economics from Davidson College and his MBA in Finance from the University of Maryland.

Stephen Velie

Stephen is currently the Chief Compliance Officer at Thornburg Investment Management, following six years as Vice President and Legal Compliance Officer for Thornburg Mortgage. Velie has also served as an associate at Levi and Berlin in Norwalk, CT, with emphasis on securities and corporate law. Velie received his A.B. in International Affairs from Occidental College, his J.D. from Vermont Law School and an L.L.M. in Financial and Banking Law from Boston University School of Law. He has served on the Board of Directors of the St. Vincent Hospital Foundation since 2007.

Bill Cowles (*Emeritus*)

Bill Cowles joined the Board in the fall of 2001. Raised in Connecticut, London, and New York, he graduated from Yale (BA) and MIT (B. Arch) and practiced architecture from his firm in Shelburne, VT. He has also served as Secretary of Vermont's Agency of Human Services, Chairman of the Vermont Environmental Board, Chairman of the Medical Center Hospital of Vermont, and founding Director of Sugarbush Valley Ski Area.

In 1975, Bill bought Pine Cay and the Meridian Club in the Turks and Caicos Islands, where he planned, built, developed and managed the island as an environmentally and socially responsible resort until 1983. In Santa Fe since 1984, Bill owns Los Trigos Ranch in Rowe, NM.

Former board participation includes the Santa Fe Community Foundation, Wheelwright Museum of the American Indian and the Santa Fe Mountain Center. Current boards include the School of American Research, and a founding director and chair of EGIS (Elders Getting Information and Services).

Santa Fe Conservation Trust
Budget vs. Actual by Programs/Projects
2013 Actuals vs. 2014 budget

	<u>Jan - Dec 13</u>	<u>Budget 14</u>	<u>\$ Over Budget</u>
Ordinary Income/Expense			
Income			
400 · Outreach & Education	500.00	500.00	0.00
415 · Easement Stewardship	62,500.00	0.00	-62,500.00
420 · Easement Fees	12,250.00	24,000.00	11,750.00
421 · Conservation Project Fees	204,750.00	0.00	-204,750.00
425 · Events (FR)	86,378.76	70,000.00	-16,378.76
430 · Board Contributions	21,912.50	30,000.00	8,087.50
435 · Annual Appeal	36,125.00	45,000.00	8,875.00
440 · Grants	49,047.14	53,000.00	3,952.86
445 · General Development	54,487.67	70,000.00	15,512.33
447 · Contracts	0.00	50,000.00	50,000.00
490 · Interest	6,207.82	0.00	-6,207.82
492 · Dividends	3.67	0.00	-3.67
494 · SFCF Change in Beneficial Int	14,918.74	0.00	-14,918.74
495 · SFCF Grant Distributions	28,178.80	27,000.00	-1,178.80
497 · Investments change in value	-10,873.42	0.00	10,873.42
Total Income	<u>566,386.68</u>	<u>369,500.00</u>	<u>-196,886.68</u>
Gross Profit	566,386.68	369,500.00	-196,886.68
Expense			
Mission			
510 · Stewardship Expenses	-1,991.58	4,000.00	5,991.58
519 · Fee Land Expenses	2,541.25	0.00	-2,541.25
520 · Easement Expenses	9,939.44	14,000.00	4,060.56
535 · Contracts	0.00	2,000.00	2,000.00
541 · LTA Accreditation	14,846.41	0.00	-14,846.41
545 · Outreach & Education	3,176.89	4,000.00	823.11
550 · Trails	100.50	2,000.00	1,899.50
560 · Mission Salary Allocation	159,895.18	158,616.44	-1,278.74
565 · Mission Shared Expense Allocat	43,185.58	43,262.18	76.60

Santa Fe Conservation Trust
Budget vs. Actual by Programs/Projects
2013 Actuals vs. 2014 budget

	<u>Jan - Dec 13</u>	<u>Budget 14</u>	<u>\$ Over Budget</u>
Total Mission	231,693.67	227,878.62	-3,815.05
Development			
601 · Donor Cultivation	475.00	500.00	25.00
602 · Computer/Technical	550.00	550.00	0.00
610 · Consultants	0.00	0.00	0.00
615 · Annual Report	2,029.84	2,200.00	170.16
625 · End of Year Appeal	920.00	1,000.00	80.00
635 · Events	0.00	250.00	250.00
645 · Development Salary Allocation	21,038.83	26,808.44	5,769.61
650 · Dev. Shared Expense Allocation	8,751.91	11,143.30	2,391.39
Total Development	<u>33,765.58</u>	<u>42,451.74</u>	<u>8,686.16</u>
General & Administrative			
Payroll			
710 · Salaries	185,525.59	223,403.43	37,877.84
715 · P/R Taxes	15,733.50	19,830.56	4,097.06
720 · Employee Benefits	12,216.08	14,074.86	1,858.78
725 · Employees Savings Match	0.00		
726 · Salary Allocation	-180,934.01	-185,424.86	-4,490.85
Total Payroll	<u>32,541.16</u>	<u>71,883.99</u>	<u>39,342.83</u>
Fixed			
730 · Rent	20,740.00	17,837.90	-2,902.10
734 · Telephone	2,478.40	2,400.00	-78.40
736 · Internet	194.35	200.00	5.65
738 · Utilities	1,744.40	1,500.00	-244.40
740 · Fixed Expense Allocation	-22,360.99	-18,208.50	4,152.49
Total Fixed	<u>2,796.16</u>	<u>3,729.40</u>	<u>933.24</u>
Variable			
742 · Accounting & Auditing	10,088.16	9,000.00	-1,088.16
743 · Accounting/Bookkeeping In-Kind	0.00	0.00	0.00
744 · Advertising & Marketing	0.00	0.00	0.00
748 · Bank Charges	0.00	0.00	0.00
752 · Board & Committee Expenses	2,011.29	1,500.00	-511.29
753 · Computer & Technical	1,485.96	5,000.00	3,514.04
756 · Credit Card/Paypal Fees	1,612.76	1,500.00	-112.76
758 · Depreciation	999.80	999.90	0.10
759 · Donations	275.00	250.00	-25.00

Santa Fe Conservation Trust
Budget vs. Actual by Programs/Projects
2013 Actuals vs. 2014 budget

	<u>Jan - Dec 13</u>	<u>Budget 14</u>	<u>\$ Over Budget</u>
760 · Dues & Subscriptions	1,599.76	1,755.00	155.24
762 · Gifts	473.21	400.00	-73.21
764 · Education & Training	1,615.00	2,000.00	385.00
770 · Insurance	11,030.98	12,000.00	969.02
776 · Legal	0.00	1,000.00	1,000.00
777 · Licenses & Fees	35.00	100.00	65.00
780 · Printing & Production	673.81	673.81	0.00
782 · Office Expense	3,173.37	3,600.00	426.63
783 · Penalties	5.00	0.00	-5.00
784 · Postage	1,511.23	1,500.00	-11.23
790 · Taxes	482.64	500.00	17.36
796 · Travel	725.62	2,499.96	1,774.34
798 · Variable Expense Allocation	-29,576.50	-36,197.00	-6,620.50
Total Variable	<u>8,222.09</u>	<u>8,081.67</u>	<u>-140.42</u>
Total General & Administrative	<u>43,559.41</u>	<u>83,695.06</u>	<u>40,135.65</u>
Total Expense	<u>309,018.66</u>	<u>354,025.42</u>	<u>45,006.76</u>
Net Ordinary Income	<u>257,368.02</u>	<u>15,474.58</u>	<u>-241,893.44</u>
Net Income	<u>257,368.02</u>	<u>15,474.58</u>	<u>-241,893.44</u>

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response to any question in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21				
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	72,038.	54,749.	10,085.	7,204.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	98,201.	74,633.	13,748.	9,820.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)				
9 Other employee benefits	12,673.	9,632.	1,774.	1,267.
10 Payroll taxes	17,499.	13,299.	2,449.	1,751.
11 Fees for services (non-employees):				
a Management				
b Legal	16,089.	14,937.	1,152.	
c Accounting	8,802.	4,401.	4,401.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	1,450.	900.	550.	
12 Advertising and promotion				
13 Office expenses	9,189.	6,065.	1,562.	1,562.
14 Information technology	5,073.	3,348.	863.	862.
15 Royalties				
16 Occupancy	21,444.	15,654.	2,144.	3,646.
17 Travel	3,147.	3,147.		
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	1,663.		1,663.	
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	1,181.	779.	201.	201.
23 Insurance	6,981.	4,607.	1,187.	1,187.
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a TRAILS EXPENSE	43,918.	43,918.		
b ALLOCATED EXPENSES	13,410.		5,732.	7,678.
c LTA ACCREDITATION	8,356.	8,356.		
d EASEMENT & LAND EXPENSE	7,072.	7,072.		
e All other expenses	11,267.	8,679.	680.	1,908.
25 Total functional expenses. Add lines 1 through 24e	359,453.	274,176.	48,191.	37,086.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here if following SOP 98-2 (ASC 958-720)

INTERNAL REVENUE SERVICE
DISTRICT DIRECTOR
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date:

APR 30 1998

SANTA FE CONSERVATION TRUST
C/O DALE BALL
6512 HORSESHOE DR
COCHITI LAKE, NM 87083-6026

Employer Identification Number:
85-0418988

DLN:

17053087890048

Contact Person:

D. A. DOWNING

Contact Telephone Number:

(513) 241-5199

Our Letter Dated:

SEPTEMBER 1994

Addendum Applies:

NO

Dear Applicant:

This modifies our letter of the above date in which we stated that you would be treated as an organization that is not a private foundation until the expiration of your advance ruling period.

Your exempt status under section 501(a) of the Internal Revenue Code as an organization described in section 501(c)(3) is still in effect. Based on the information you submitted, we have determined that you are not a private foundation within the meaning of section 509(a) of the Code because you are an organization of the type described in section 509(a)(1) and 170(b)(1)(A)(VI).

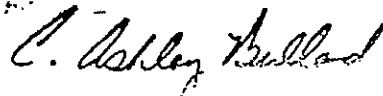
Grantors and contributors may rely on this determination unless the Internal Revenue Service publishes notice to the contrary. However, if you lose your section 509(a)(1) status, a grantor or contributor may not rely on this determination if he or she was in part responsible for, or was aware of, the act or failure to act, or the substantial or material change on the part of the organization that resulted in your loss of such status, or if he or she acquired knowledge that the Internal Revenue Service had given notice that you would no longer be classified as a section 509(a)(1) organization.

If we have indicated in the heading of this letter that an addendum applies, the addendum enclosed is an integral part of this letter.

Because this letter could help resolve any questions about your private foundation status, please keep it in your permanent records.

If you have any questions, please contact the person whose name and telephone number are shown above.

Sincerely yours,



District Director

Letter 1050 (DO/CG)



The
Community
Foundation

Serving the Counties of Riverside and San Bernardino

S. L. Gimbel Foundation Fund

BOARD OF DIRECTORS

April 17, 2014

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Immediate Past Board Chair

Dr. Jonathan Lorenzo Yorba
President and CEO

Mr. Charlie O'Leary
Executive Director
Santa Fe Conservation Trust
PO Box 23985
Santa Fe, NM 87502

Dear Mr. O'Leary:

Congratulations! A grant has been approved for **Santa Fe Conservation Trust** in the amount of **\$24,896** from the S.L. Gimbel Foundation. The **performance period for this grant is May 1, 2014 to April 30, 2015**. Additional funding beyond the performance period is not guaranteed. It is highly recommended that alternative funding sources be sought accordingly. The grant is to support the following as specified in your proposal:

Passport to Health: Provide unique educational experiences for low-income, underserved Santa Fe youth by providing them outdoor fieldtrips and training high school students on trail maintenance and stewardship of trails.

This grant is subject to the terms outlined in the enclosed Grant Agreement. After you have reviewed the terms and conditions of the Grant Agreement, please sign and date the enclosed copy and return the original copy to The Community Foundation within the next two weeks. Please retain a copy of the signed agreement for your records. Funds will be released upon receipt of the signed Grant Agreement.

A condition of this grant is that you agree to submit the Grant Evaluation Form which includes a narrative report and fiscal report. The **Grant Evaluation is due on May 15, 2015** and a copy will be available online at The Community Foundation website.

We wish you great success and look forward to working with you during the grant performance period.

If you have any questions, please call me at 951-684-4192 ext. 114 or email me at cudiamat@thecommunityfoundation.net.

Sincerely,

Celia Cudiamat
Executive Vice President

17842 Santa Fe Conservation Trust 20140278

GIMBEL



Confirmed in Compliance
with National Standards for
U.S. Community Foundations

2014 S.L. Gimbel Foundation Fund

Grant Agreement

Organization: Santa Fe Conservation Trust

Grant Amount: \$ 24,896 **Grant Number:** 20140278

Grant Period: May 1, 2014 through April 30, 2015

Purpose: **Passport to Health: Provide unique educational experiences for low-income, underserved Santa Fe youth by providing them outdoor fieldtrips and training high school students on trail maintenance and stewardship of trails.**

1. Use of Grant Funds

Grant funds must be expended within the grant period, for the purpose and objectives described in your grant proposal. Grant funds may not be expended for any other purpose without prior written approval by The Community Foundation. If there are significant difficulties in making use of the funds as specified in your proposal, or if the grant funds cannot be spent within the grant period, notify us in writing promptly.

Formal requests for extensions or variances must be submitted to the Foundation's Board of Directors for approval a minimum of 60 days before the end of the grant period.

Requests for variances or extensions are reviewed on a case-by-case basis and approved by the Board of Directors. If a request is denied, unused funds must be immediately refunded to the Foundation.

2. Payment of Grant Funds

The grant funds will be paid in full by the Foundation upon receipt of the signed Grant Agreement. Challenge grant funds will be paid in full upon receipt of the signed Grant Agreement and upon receipt of documentation providing evidence that condition(s) of the challenge grant has/have been met.

3. Certification and Maintenance of Exempt Organization Status

This grant is specifically conditioned upon Grantee's status as an eligible grantee of The Community Foundation. The Foundation has obtained a copy of the Grantee's IRS determination letter. Grantee confirms that it has not had any change in its tax-exempt status, and shall notify the Foundation immediately of any such change.

4. Final Report and Records

The Grantee will submit the Grant Evaluation report per the deadline set forth in the award letter. This report includes a narrative on outcomes based on goals and objectives set forth in the grant proposal and an expenditure report documenting use of grant funds. If equipment was purchased, copies of receipts need to be included.

5. Grantee's Financial Responsibilities

Grantee will keep records of receipts and expenditures of grant funds and other supporting documentation related to the grant at least four (4) years after completion of the grant and will make such records of receipts, expenditures and supporting documentation available to the Foundation upon request.

6. Publicity

The Community Foundation recommends publicity for the grant and acknowledging The Community Foundation in internal correspondence, brochures as appropriate; newsletters, annual reports and email blasts or e-newsletters.

The credit line of "Made possible in part by a grant from the **"S.L. Gimbel Foundation Advised Fund at The Community Foundation – Inland Southern California"** is suggested. When your donors are listed in printed materials, include the S.L.

Gimbel Foundation Advised Fund at The Community Foundation in the appropriate contribution size category. When publishing our name, please note the "The" at the beginning of our name is a legal part of our name. It should always be used and capitalized. Attaching our logo is also appreciated. Our logo can be downloaded from our website at www.thecommunityfoundation.net.

7. Indemnification

In the event that a claim of any kind is asserted against the Grantee or the Foundation related to or arising from the project funded by the Grant and a proceeding is brought against the Foundation by reason of such claim, the Grantee, upon written notice from the Foundation, shall, at the Grantee's expense, resist or defend such action or proceeding, at no cost to the Foundation, by counsel approved by the Foundation in writing.

Grantee hereby agrees, to the fullest extent permitted by law, to defend, indemnify, and hold harmless the Foundation, its offices, directors, employees, and agents, from and against any and all claims, liabilities, losses, and expenses (including reasonable attorneys' fees) directly, indirectly, wholly, or partially arising from or in connection with any act or omission by Grantee, its employees, or agents in applying for or accepting the Grant, in expending or applying the Grant funds or in carrying out any project or program supported by the Grant, except to the extent that such claims, liabilities, losses, and expenses arise from or in connection with any bad faith act or omission by the Foundation, its officers, directors, employees, or agent.

8. Termination

The Community Foundation may terminate this agreement, withhold payments, or both at any time, if, in the Community Foundation's judgment: a) The Community Foundation is not satisfied with the quality of the Grantee's progress toward achieving the project goals and objectives; b) the Grantee dissolves or fails to operate; c) the Grantee fails to comply with the terms and conditions of this agreement.

9. Limitation of Support

This Agreement contains the entire agreement between the parties with respect to the Grant and supersedes any previous oral or written understandings or agreements.

I have read and agree to the terms and conditions of the Grant Agreement.

Charlie O'Leary
Signature

Charlie O'Leary
Printed Name

4-25-14
Date

Executive Director
Title

Organization: 17842 Santa Fe Conservation Trust
Grant Number: 20140278

dc
4/22/14

Santa Fe Conservation Trust

Protecting the Land. Preserving Our Quality of Life



April 25, 2014

Celia Cudiamat
Executive Vice President
The Community Foundation
3700 Sixth Street, Suite 200
Riverside, CA 92501

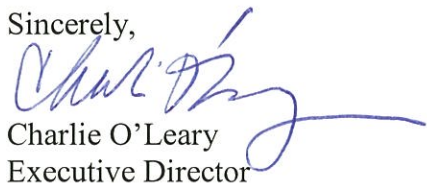
Dear Ms. Cudiamat:

On behalf of everyone at the Santa Fe Conservation Trust, I want to extend our sincere gratitude for having been selected to receive a grant in the amount of \$24,896 from the S. L. Gimbel Foundation Fund in support of our "Passport to Health" program. We're excited to get this project underway, knowing the impact it will have on low-income, underserved youth in Santa Fe. Needless to say, S.L. Gimbel will be helping us to create positive and lasting memories for these children. We're also pleased to be able to have the opportunity to engage high school students in trails maintenance and stewardship. We have no doubt that they will eventually become a valued and much-needed part of our trails program.

Enclosed please find the signed Grant Agreement. We look forward to reporting our success to you as the project moves forward. If at any time you have questions or need additional information, please do not hesitate to contact me.

Again, thank you and please extend our appreciation to the Board of the S. L. Gimbel Foundation Fund.

Sincerely,



Charlie O'Leary
Executive Director

Enc.

Santa Fe Conservation Trust, P.O. Box 23985, Santa Fe, NM 87502
Phone: 505.989.7019 • Fax: 505.988.1455 • Email: info@sfct.org • Web: www.sfct.org

Printed on 100% recycled post-consumer paper





The
Community
Foundation

Serving the Counties of Riverside and San Bernardino

S. L. Gimbel Foundation Fund

BOARD OF DIRECTORS

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Vice Chair of the Board

Pat Spafford, CPA
Chief Financial Officer

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Secretary of the Board

Glenda Bayless

Sergio Bohon

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Andrea Dutton

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Paul Granillo

Stanley Grube

Kirk Harns

Dr. Albert Karnig

Vernon Kozlen

D. Matthew Pim

Patrick O'Reilly

Rose Salgado

Beverly Stephenson

Grover Trask
Immediate Past Board Chair

Dr. Jonathan Lorenzo Yorba
President and CEO

May 2, 2014

Mr. Charlie O'Leary
Executive Director
Santa Fe Conservation Trust
PO Box 23985
Santa Fe, NM 87502

Dear Mr. O'Leary:

The Community Foundation is pleased to enclose a grant check for \$24,896 from the S. L. Gimbel Foundation, a component fund at The Community Foundation. By cashing the grant check, you are agreeing to the conditions stated under the *Terms of Grant* which you have signed and returned. The completed Grant Evaluation form is due by May 15, 2015 and will be available online on The Community Foundations website under Grants/Forms. Please note that any grant variances or extensions must be requested in writing and in advance. Any remaining grant funds must be returned to The Community Foundation at the end of the grant period.

We greatly appreciate any help you can give us in publicizing the grant. **Please use the following credit in any grant announcements or materials funded by the grant: "The (name of project/program) is supported by a grant from The S. L. Gimbel Foundation."** You may send copies of articles printed in local papers, stories in your agency newsletter, annual report, press releases, and other publications for our files.

If you have any questions, please contact me at 951-684-4194.

Sincerely,

Celia Cudiamat
Executive Vice President

20140278

37324

GIMBI



Confirmed in Compliance
with National Standards for
U.S. Community Foundations

The Community Foundation
Serving the Counties of Riverside and San Bernardino

3700 SIXTH STREET, SUITE 200
RIVERSIDE, CA 92501
951-241-7777 / FAX 951-684-1911

CITIZENS BUSINESS BANK
A Financial Services Company
3695 Main Street, Riverside, CA 92501
90-3414-1222

37324

PAY * Twenty-Four Thousand Eight Hundred Ninety-Six and no/100 *
DATE

AMOUNT

TO THE ORDER OF

05/01/2014

\$****24,896.00

Santa Fe Conservation Trust
PO Box 23985
Santa Fe, NM 87502



Jonathan Lopez
Chris Anderson
AUTHORIZED SIGNATURE

Security features. Details on back.

⑈037324⑈ ⑆122234149⑆ 244124437⑈

The Community Foundation

37324

17842 Santa Fe Conservation Trust

05/01/2014 037324

20140278 04/17/2014 Passport to Health
GIMB S.L. Gimbel Foundation Advised Fund

24,896.00
24,896.00

CHECK TOTAL: \$****24,896.00

The Community Foundation

37324

17842 Santa Fe Conservation Trust

05/01/2014 037324

20140278 04/17/2014 Passport to Health
GIMB S.L. Gimbel Foundation Advised Fund

24,896.00
24,896.00

CHECK TOTAL: \$****24,896.00